

Wilson Loop approach to Topological Photonic Crystals

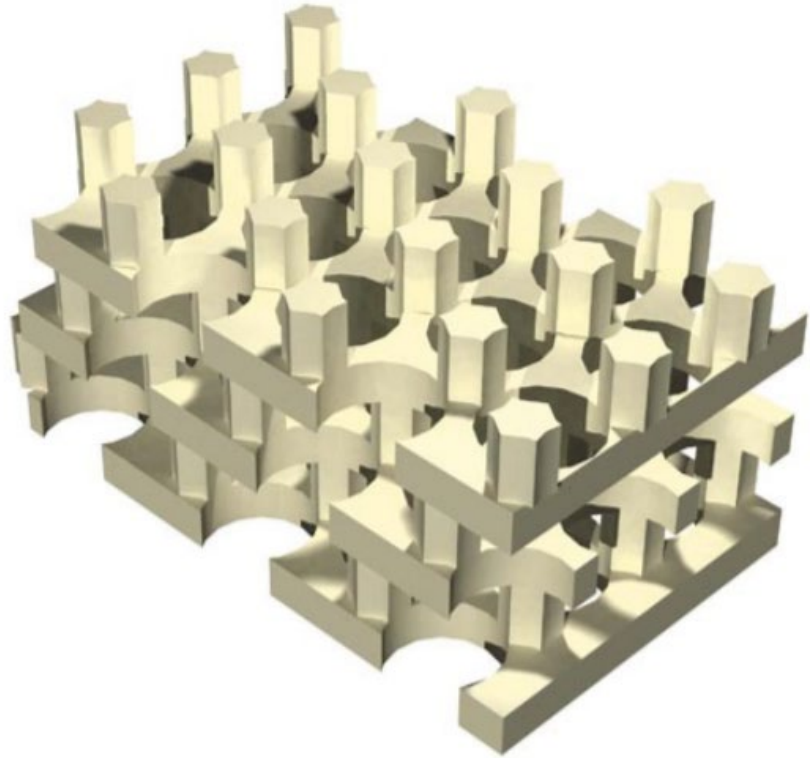
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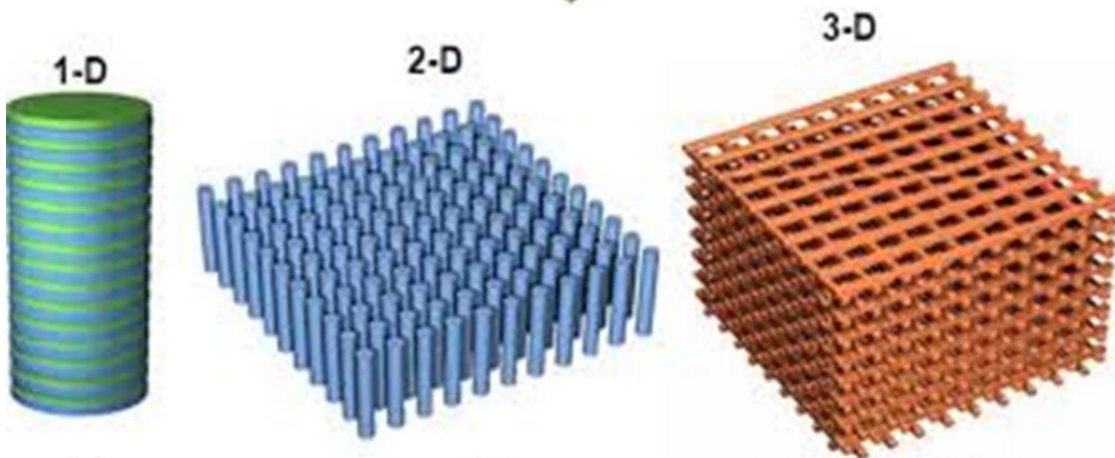
What is a Photonic Crystal?



Assumption 1 (Material weights)

$$W(\mathbf{r}) = \begin{pmatrix} \varepsilon(\mathbf{r}) & \chi(\mathbf{r}) \\ \chi^\dagger(\mathbf{r}) & \mu(\mathbf{r}) \end{pmatrix}$$

- Eigenvalues (\widehat{W}) > 0 (dielectric)
- $W = W^\dagger$ (lossless medium)
- $\widehat{W}(\omega) \sim \widehat{W}(\omega_0)$ (instantaneous response)
- \widehat{W} periodic on a lattice $\Gamma \sim \mathbb{Z}^3$



A photonic crystal is to light what a crystalline solid is to an electron

Maxwell equations in the Schrodinger formalism

Complexification of the classical system

$$\bar{\mathbf{E}}, \bar{\mathbf{H}} = 2\text{Re}(\mathbf{E}, \mathbf{H})$$

- Systematic link to a complex Hilbert space is essential if one wants to apply methods from quantum mechanics

$$\begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix} \in L^2_W(\mathbb{R}^3, \mathbb{C}^6)$$

- Real fields can be uniquely represented by complex waves composed solely of non-negative frequencies (taking the real part as the physical field):

$$\begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix} = e^{-i\omega t} \begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix}$$
$$\omega > 0$$

- $\omega > 0$ and $\omega < 0$ contributions are not independent degrees of freedom and one can be reconstructed from the other

QM approach

- Dynamical field Maxwell equations:

$$i\partial_t \begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix} = \hat{M}(\mathbf{r}) \begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix}$$

- Maxwell operator M plays the role of the Hamiltonian of the classical system:

$$\hat{M} = W(\mathbf{r})^{-1} \begin{pmatrix} 0 & +i\nabla^\times \\ -i\nabla^\times & 0 \end{pmatrix}$$

- Absence of sources:

$$\begin{pmatrix} \nabla \cdot & 0 \\ 0 & \nabla \cdot \end{pmatrix} \left(W(\mathbf{r}) \begin{pmatrix} \mathbf{E}(\mathbf{r}, t) \\ \mathbf{H}(\mathbf{r}, t) \end{pmatrix} \right) = 0$$

Some observations

- Conformal invariance of the Hamiltonian:

$$W(\mathbf{r}) \rightarrow W(\mathbf{r}/s)$$

$$\begin{pmatrix} \mathbf{E}(\mathbf{r}) \\ \mathbf{H}(\mathbf{r}) \end{pmatrix} \rightarrow \begin{pmatrix} \mathbf{E}(\mathbf{r}/s) \\ \mathbf{H}(\mathbf{r}/s) \end{pmatrix} \text{ and } \omega \rightarrow \omega/s$$

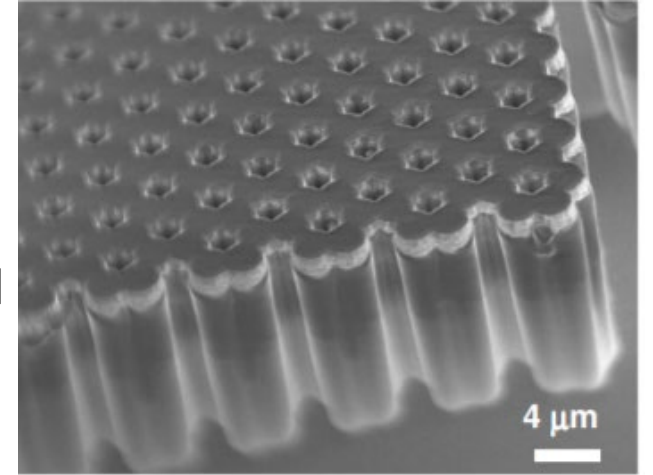
frequencies scalable with the lattice parameters

$$a \sim 4\mu\text{m}$$

$$\nu \sim 100 \text{ THz}$$

(MIR)

Challenge at optical frequencies and nanoscale



Klembt, Nature volume 562, (2018)

- Weighted energy scalar product:

$$\left\| \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} \right\|_W^2 = \left\langle \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} \middle| \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix} \right\rangle_W \equiv \int d^3\mathbf{r} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}^\dagger W \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$$

vector field in the presence of a metric

$$\langle U|V \rangle_W \equiv \int d^3\mathbf{r} U^\dagger \begin{pmatrix} \varepsilon & \chi \\ \chi^\dagger & \mu \end{pmatrix} V$$

Photonic band picture and Bloch light waves

- Master equation:

$$\hat{M}(\mathbf{k})U_{n,\mathbf{k}} = \omega(\mathbf{k})_n U_{n,\mathbf{k}} \quad \omega(\mathbf{k})_n > 0$$

$$\hat{M}(\mathbf{k}) = W(\mathbf{r})^{-1} \begin{pmatrix} 0 & (-i\nabla + \mathbf{k})^\times \\ (+i\nabla - \mathbf{k})^\times & 0 \end{pmatrix}$$

Bloch wavefunction

$$\Psi_{n,\mathbf{k}} = U_{n,\mathbf{k}} e^{-i\mathbf{k}\cdot\mathbf{r}}$$

Periodic part

$$U_{n,\mathbf{k}} = \begin{pmatrix} \mathbf{E}_{n,\mathbf{k}}(\mathbf{r}) \\ \mathbf{H}_{n,\mathbf{k}}(\mathbf{r}) \end{pmatrix}$$

- Transversality condition

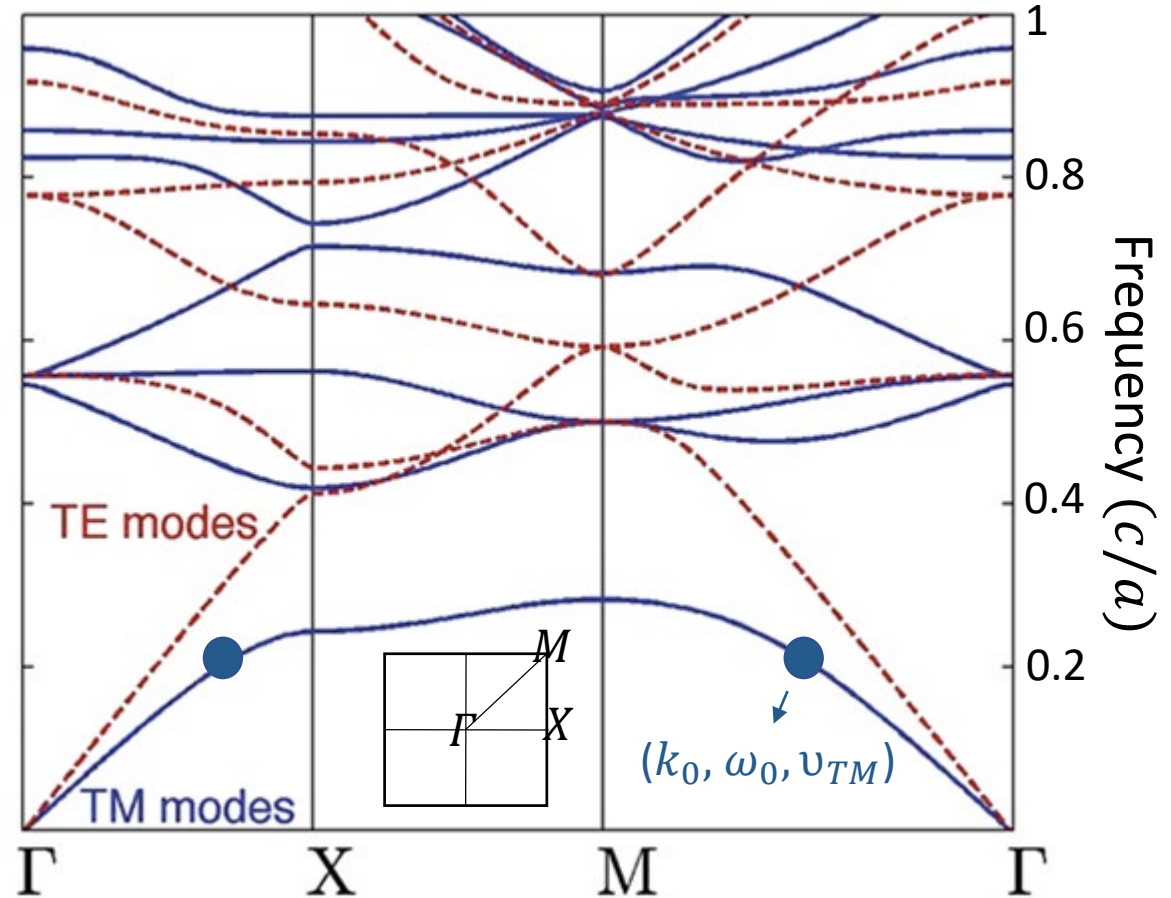
$$\begin{pmatrix} (i\mathbf{k} + \nabla) \cdot & 0 \\ 0 & (i\mathbf{k} + \nabla) \cdot \end{pmatrix} (W(\mathbf{r})U_{n,\mathbf{k}}(\mathbf{r})) = 0$$

Electrons are conserved charged interacting fermions

Photons are neutral non-interacting non-conserved bosons



- (i) 2 Golstone linear dispersing modes at $\omega(\mathbf{k} = 0) = 0$ for each v_{pol}
- (ii) Absence of Fermi level: multidirectional excitation by antennas or single mode $(\mathbf{k}_0, \omega_0, v_{pol})$ excited by laser



Classification of Topological Photonic Crystals

Internal (local) symmetries:

$$U_n = (\sigma_2 \otimes \mathbb{1}_{3 \times 3}) \quad n = 1, 2, 3 \text{ unitary}$$

$$T_n = (\sigma_n \otimes \mathbb{1}_{3 \times 3}) K \quad n = 0, 1, 2, 3 \text{ anti-unitary}$$

“Suppose material weights W satisfies Assumption 1, then all **admissible symmetries** of the Maxwell operator \hat{M} , must **commute** with W ”

De Nittis, Ann. Phys. 396, 579-617 (2018)

- Absence of charge conjugation C operator (particle-hole)

- Even ($T^2 = 0, 1$) time reversal symmetry

$$T (\sigma_1 \otimes \mathbb{1}_{3 \times 3}) K, T_3 = (\sigma_3 \otimes \mathbb{1}_{3 \times 3}) K$$

T_1, T_3 in T class (i.e. commuting and anti-unitary)

- EM duality (out of the AZ scheme)

$$U_2 = (\sigma_2 \otimes \mathbb{1}_{3 \times 3})$$

$$(\mathbf{E}, \mathbf{H}) \rightarrow i(-\mathbf{H}, \mathbf{E})$$

Topological protection?

“Ten-fold classification (AZ)”

Altland and Zirnbauer, Phys. Rev. B 55, 1142 (1997)

AZ	Symmetry			Dimension							
	T	C	S	1	2	3	4	5	6	7	8
A	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}
AIII	0	0	1	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0	\mathbb{Z}	0
AI	1	0	0	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
BDI	1	1	1	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2
D	0	1	0	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0	\mathbb{Z}_2
DIII	-1	1	1	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}	0
AII	-1	0	0	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0	\mathbb{Z}
CII	-1	-1	1	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0	0
C	0	-1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0	0
CI	1	-1	1	0	0	\mathbb{Z}	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0

- Two-fold vs Ten-fold way (A, AI) \mathbb{Z}
 - Chern phase allowed in 3D

- Absence of \mathbb{Z}_2 phases (pseudo-spin to be built out of e.g. Nonlocal crystalline symmetries, EM duality...)

Photonic bulk-edge correspondence

- Observables in the bulk and at the boundary approximately given in terms of topological quantities:

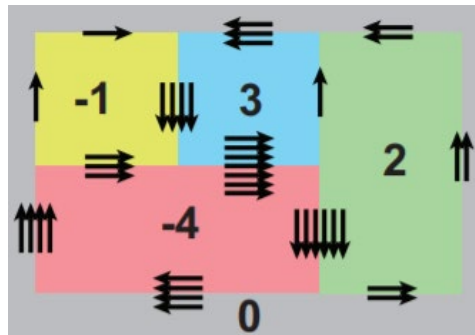
$$O_{bulk/edge} \approx T_{bulk/edge}$$

- Topological quantities necessarily agree with one another:

$$T_{bulk} = T_{edge}$$

- Observables: $Re(f(\mathbf{E}, \mathbf{H}))$, e.g. Pointing $S = Re(\mathbf{E} \times \mathbf{H})$
- Topologically protected one-way propagation at the boundary between crystals (l, r) with different gap Chern numbers:

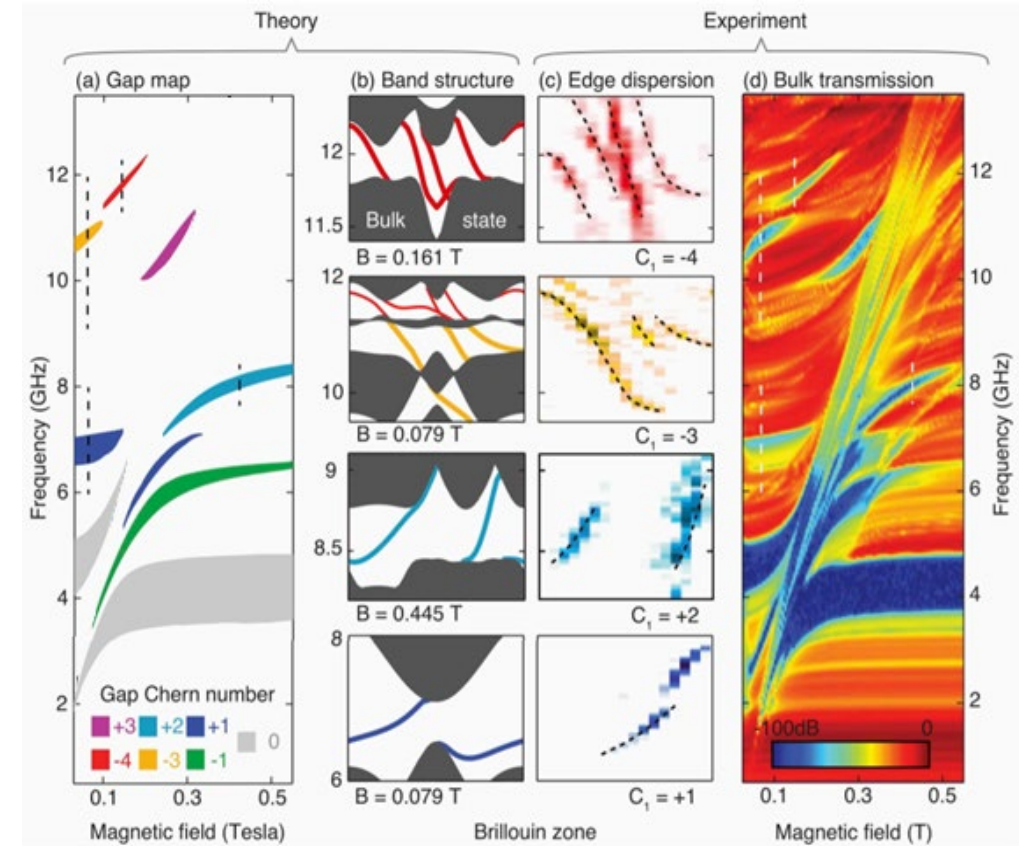
“signed # edge modes” = $\Delta C_{gap_{l,r}}$



- Gap Chern number, sum of Chern numbers of all the bands below the bandgap ($C_{gap} = \sum_{i \text{ below}} C_i$), fixes the number of chiral edge modes

Skirlo, Phys. Rev. Lett. **115**, 253901, (2015)

Hafezi, Phys. Rev. Lett. **112**, 210405 (2014)



- Edge dispersion: Fourier transform of the edge-mode profiles
 - Bandgap sign: directional dependent transmission between exciting and receiving antenna

Detecting topology: Wilson loop approach

- Non-Abelian Berry curvature: $m, n = 1, \dots, N$

$$\mathbf{A}_{m,n,\mathbf{k}} = \langle U_{m,\mathbf{k}} | \nabla_{\mathbf{k}} | U_{n,\mathbf{k}} \rangle_W^*$$

relevant
occupied subset
of bands

where $U_{n,\mathbf{k}} = \begin{pmatrix} \mathbf{E}_{n,\mathbf{k}}(\mathbf{r}) \\ \mathbf{H}_{n,\mathbf{k}}(\mathbf{r}) \end{pmatrix}$

$$\langle U_{n,\mathbf{k}} | V_{n,\mathbf{k}} \rangle_W \equiv \int_{\text{unit cell}} d^3\mathbf{r} U_{n,\mathbf{k}}^\dagger \begin{pmatrix} \varepsilon & \chi \\ \chi^\dagger & \mu \end{pmatrix} V_{n,\mathbf{k}}$$

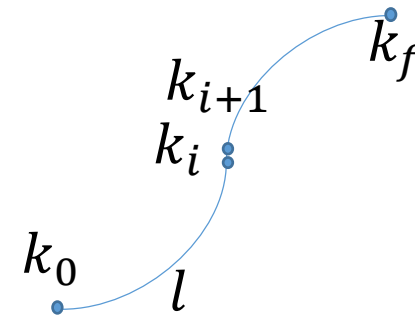
- Path ordered Wilson line:

$$M_{m,n} = \overline{\exp} \left(- \int_l \mathbf{A}_{m,n,\mathbf{k}} \cdot d\mathbf{k} \right)$$

- Numerical implementation by overlap matrices:

$$M_{m,n}^{k_i, k_{i+1}} = \langle U_{m,k_i} | U_{n,k_{i+1}} \rangle_W$$

$$M_{m,n} = \overline{\prod}_{k_i \in l} M_{m,n}^{k_i, k_{i+1}}$$



Equivalence exact in the limit $d\mathbf{k} \rightarrow 0$

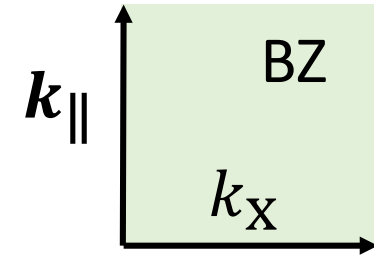
$$\begin{aligned} \langle U_{m,\mathbf{k}} | U_{n,\mathbf{k}+d\mathbf{k}} \rangle_W &= \langle U_{m,\mathbf{k}} | (|U_{n,\mathbf{k}}\rangle + d\mathbf{k} \cdot \nabla_{\mathbf{k}} |U_{n,\mathbf{k}}\rangle) \rangle + O((d\mathbf{k})^2) \\ &= \delta_{m,n} - \langle U_{m,\mathbf{k}} | \nabla_{\mathbf{k}} | U_{n,\mathbf{k}} \rangle_W + O((d\mathbf{k})^2) \cong \exp(-\mathbf{A}_{m,n,\mathbf{k}} \cdot d\mathbf{k}) \end{aligned}$$

*missing i convention

Hybrid energy centers

- Choice of a closed path l in the BZ (Berry phase) or across BZ (Zak phase) to ensure gauge invariance of the Wilson loop M spectrum:

$$\mathbf{k} = (k_x, \mathbf{k}_{\parallel}) \in T^d$$



- Spectral equivalence with the projected position operator:

$$-Im \log(M(\mathbf{k}_{\parallel})) / 2\pi \leftrightarrow P(\mathbf{k}_{\parallel}) x P(\mathbf{k}_{\parallel})$$

$$P(\mathbf{k}_{\parallel}) = \sum_n \int_{-\pi}^{\pi} \frac{dk_x}{2\pi} |U_{n,\mathbf{k}}\rangle W \langle U_{n,\mathbf{k}}|$$

WL matrix eigenvalues

Transverse position operator
projected over the occupied subset

Eigenvalues:

$$\theta_n(\mathbf{k}_{\parallel}) / 2\pi$$

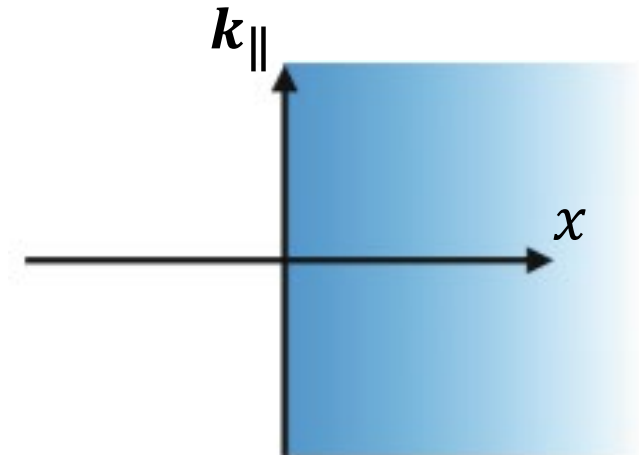
$$n = 1, \dots, N$$

Eigenvalues:

$$\frac{\theta_n(\mathbf{k}_{\parallel})}{2\pi} + z$$

$$n = 1, \dots, N, z \in \mathbb{Z}$$

e.g. Zak θ_n phase in 1D

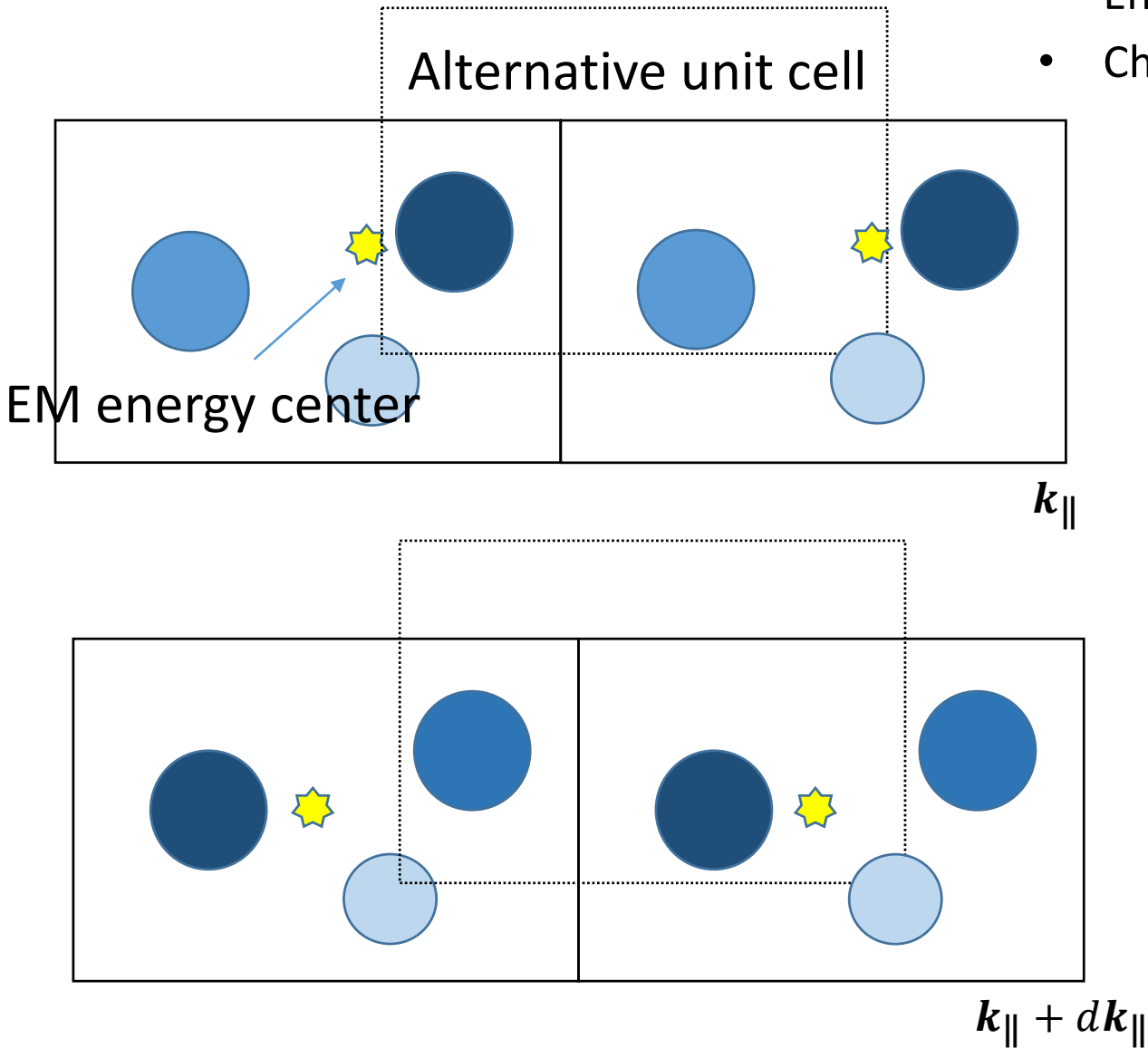


interpretation in terms of “energy centers” localized in the x-direction and plane waves perpendicular to it

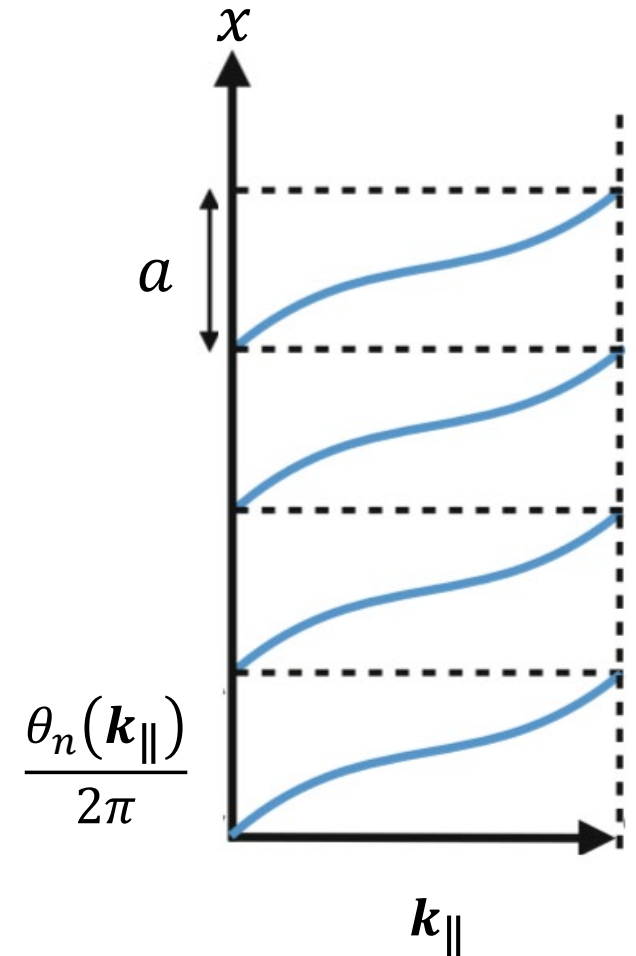
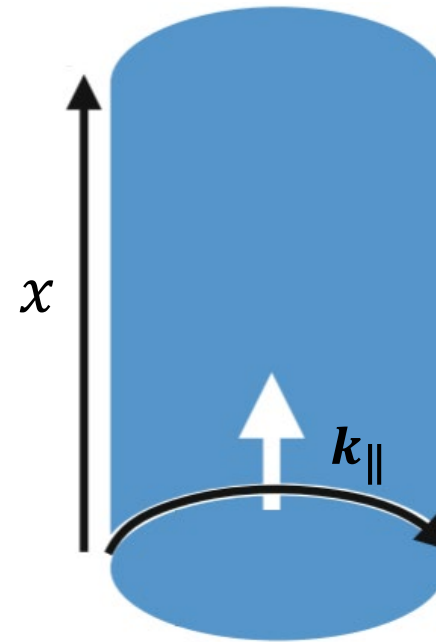
- Proof based on the covariant representation of $x^a \equiv \frac{\partial}{\partial k_a}$

Spectral flow of energy centers

- Energy centers $\theta_n(\mathbf{k}_{\parallel})$ depend on the choice of the unit cell
- Change in the energy centers is unambiguous



Transverse flow interpretation in $d \geq 2$



Example of WL applications for topology detection

1. Zak phase in a **1D dielectric stack**
2. Chern number in chiral **2D gyro-electric** photonic crystal
3. Chern number in chiral **2D gyro-magnetic** photonic crystal
4. Pseudospin Z_2 in **3D all-dielectric** photonic crystal
5. Weyl (I) semimetal in gyro-electric **3D liquid crystal blue phase** of rods

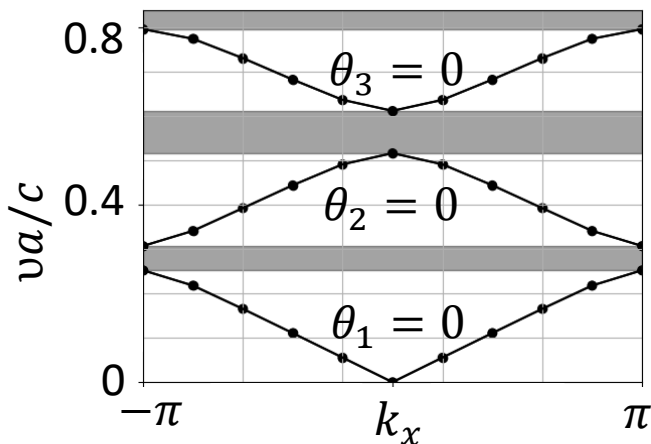
(1) Zak phase in 1D dielectric stack

$$\theta_n = -\text{Im} \log(m_n)$$

Zak phase

Inversion symmetry:
Quantized $\theta_{\bar{n}} = 0, \pi$
As pairs $\theta_n, \theta_{n+1} = \chi, -\chi$

Phase 0



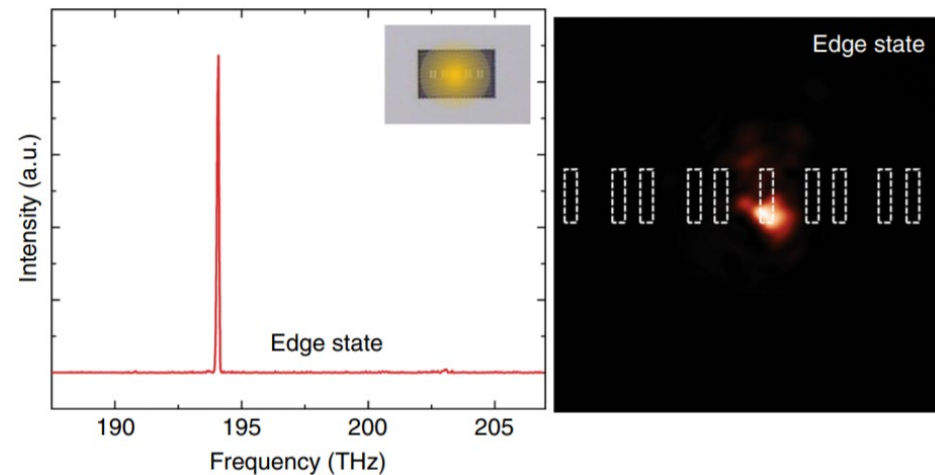
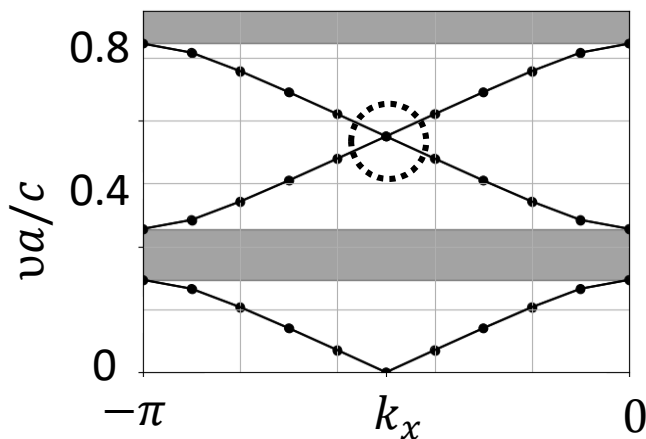
Quarter-wave stack

$$n_{\text{high}} d_{\text{high}} = n_{\text{low}} d_{\text{low}}$$



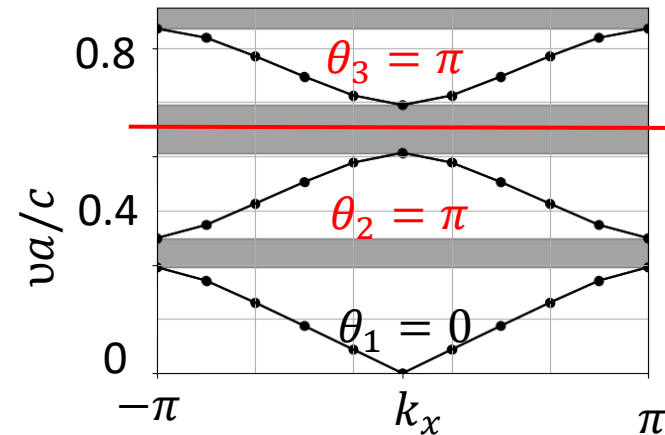
$$n_{\text{high}} = 2$$

$$n_{\text{low}} = 1$$



Han, Light: Science & Applications (2019) 8:40

Phase 1

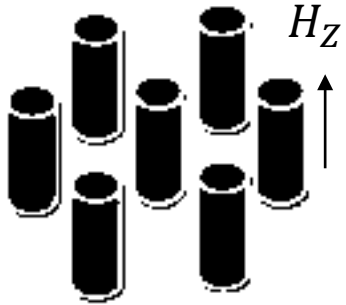


Wang, et al (2019)

New J. Phys. 21 093029

(2) Chiral 2D Gyroelectric ph.c.

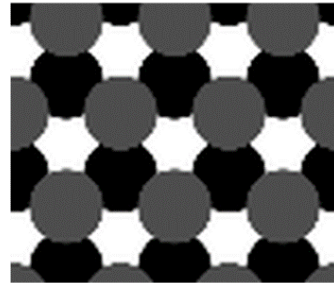
TE bands



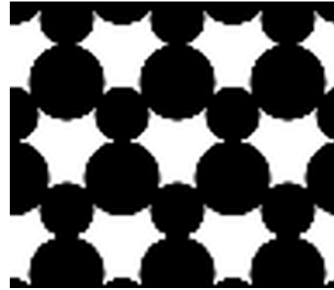
(E_x, E_y, H_z)



$\epsilon_{rods}=14$

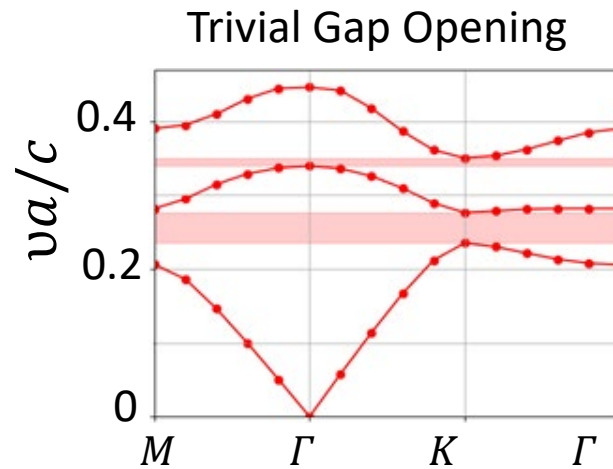
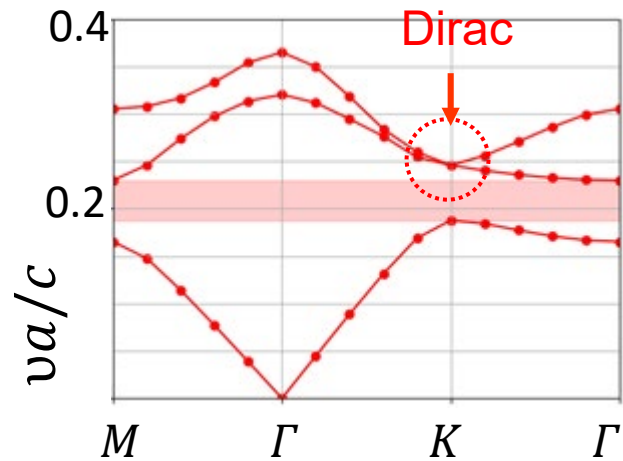


$\epsilon_{rods_A}=14$
 $\epsilon_{rods_B}=10$



$r_{rods_A}=0.34$
 $r_{rods_B}=0.25$

Broken P



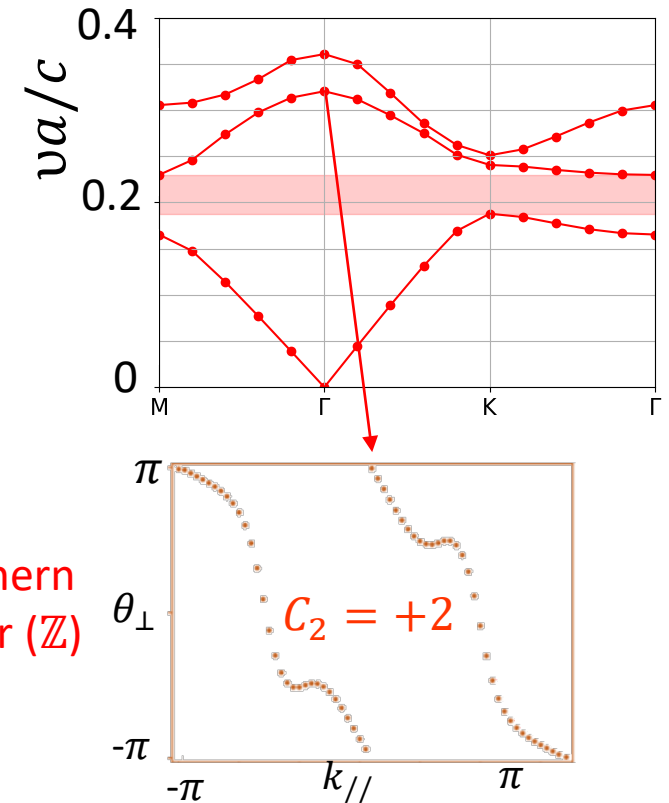
Broken T

Faraday-rotation effect in external B field

$$\hat{\epsilon}_{BKG} = \begin{pmatrix} 1 & i\Lambda_\epsilon & 0 \\ -i\Lambda_\epsilon & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad \Lambda_\epsilon=0.6$$

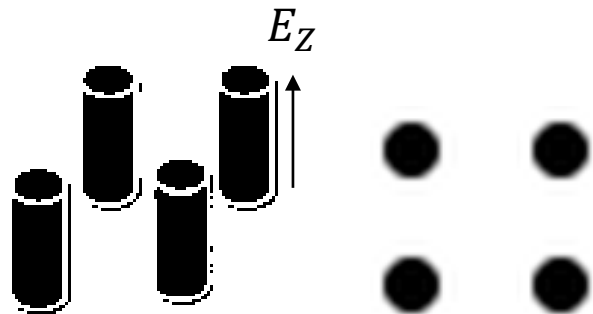
Unlike electrons, the external magnetic field does not interact directly with photons: this makes the system an analogue of the QAHE

Raghu and Haldane, Phys. Rev. A 78, 033834 (2008)



(3) Chiral 2D Gyromagnetic ph.c.

TM Bands

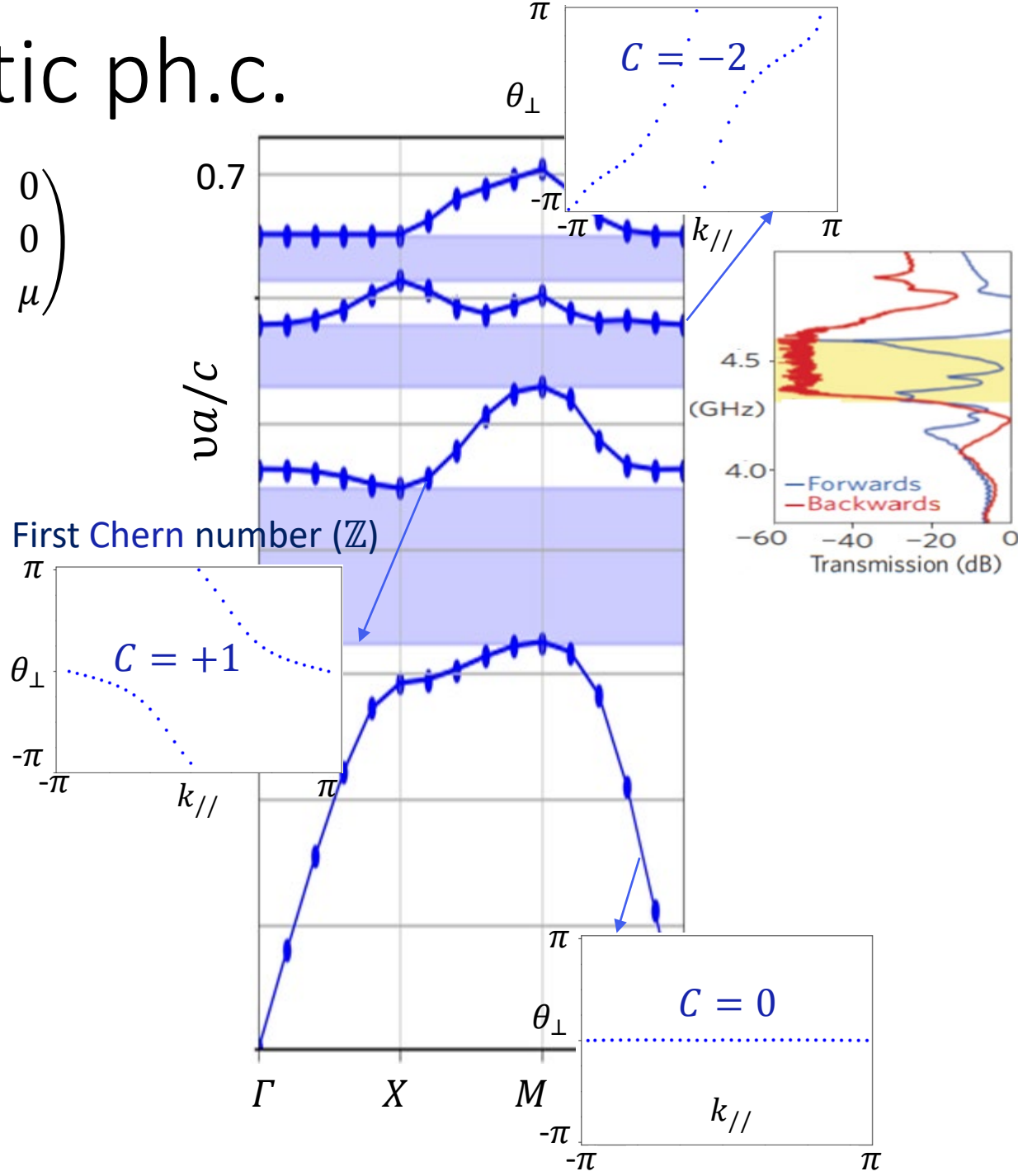
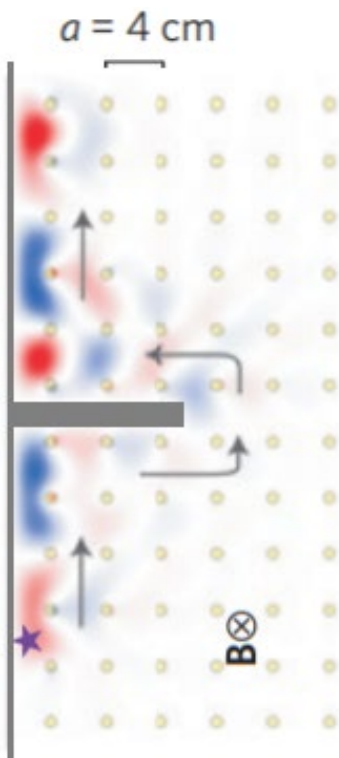
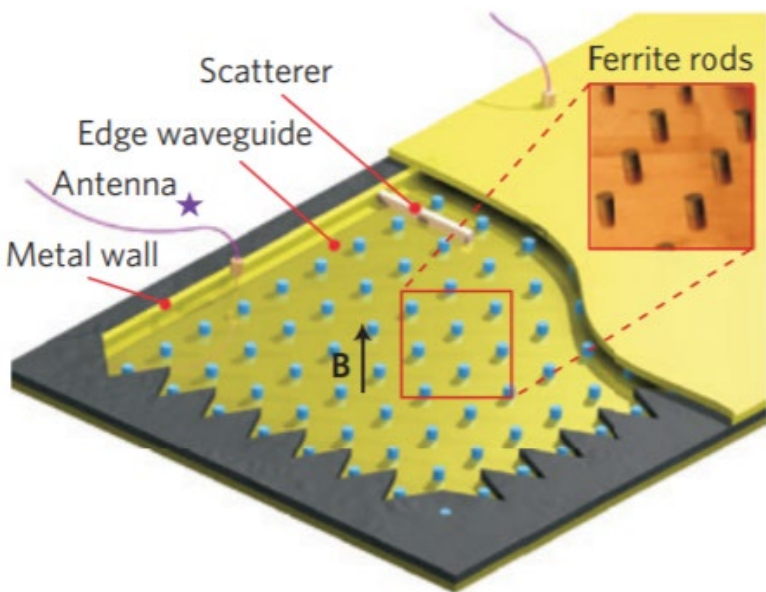


(H_x, H_y, E_z)

$$\hat{\mu}_{rods} = \begin{pmatrix} \mu & i\Lambda_\mu & 0 \\ -i\Lambda_\mu & \mu & 0 \\ 0 & 0 & \mu \end{pmatrix}$$

$$\Lambda_{\mu_{YIG}} = 12.4$$

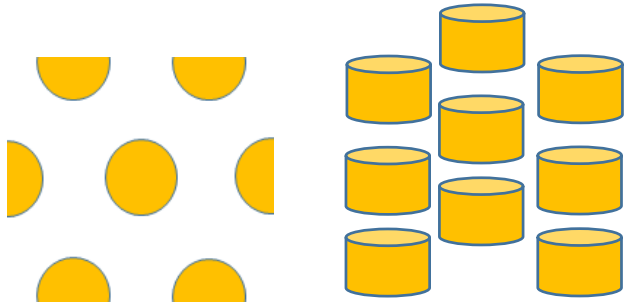
YIG Square Lattice ($\mu_{YIG} = 15$)



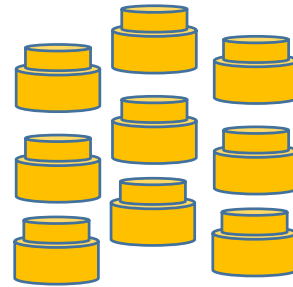
(4) Pseudospin Z_2 in all-dielectric 3d ph.c.

Enforced in-plane duality
 $(E, H)_{x,y}$ by meta-atoms design

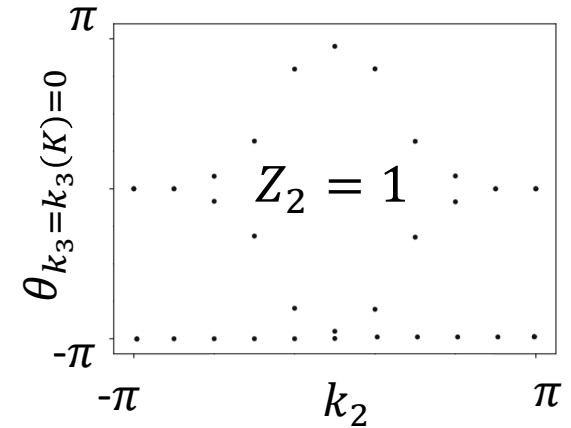
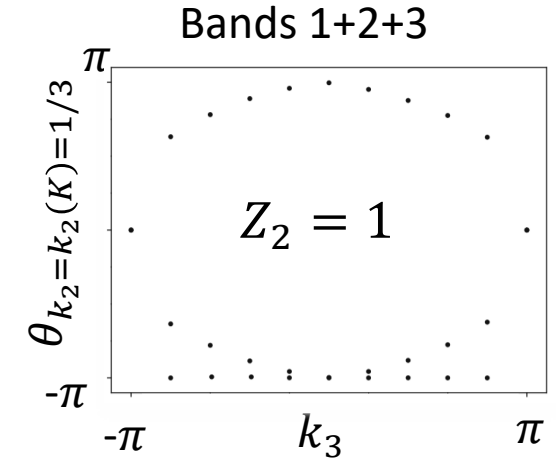
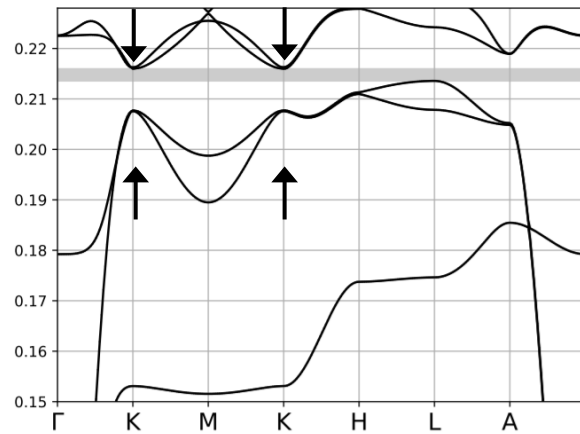
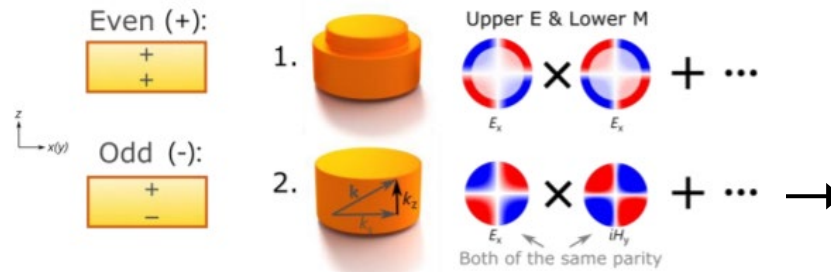
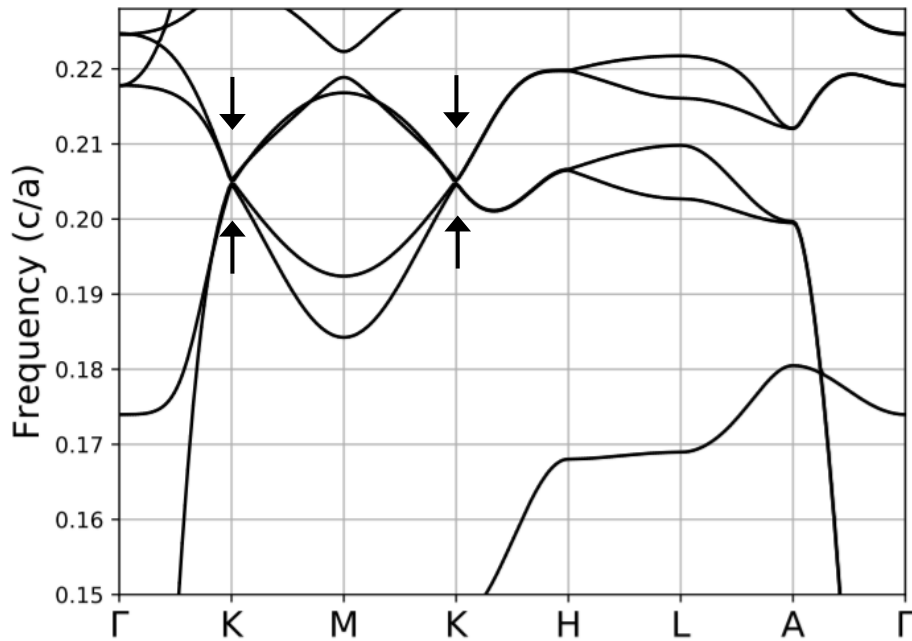
$$\sigma_z (z \rightarrow -z)$$



Dual symmetry reduction:
 pseudo-SOC interaction

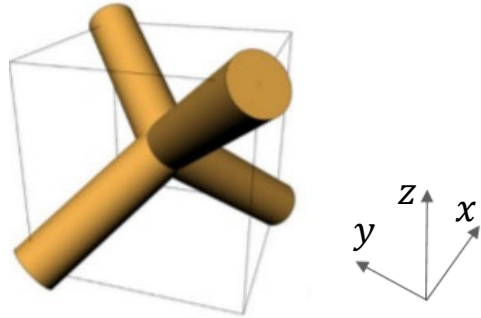


Slobozhanyuk, Nature Photon. vol11, 130–136 (2017)



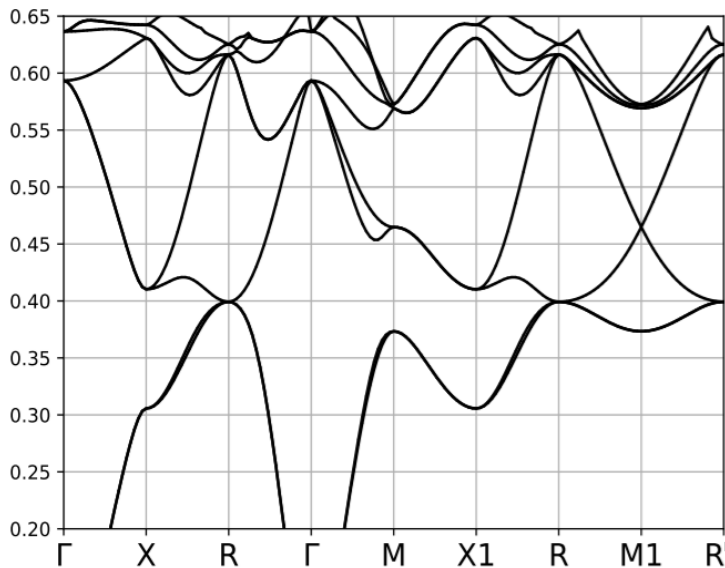
(5) Weyl (I) semimetal in gyro-electric 3d ph.c

Intrinsic P -Breaking



Chiral SG 208

BPII (Liquid Crystal Blue Phase II)

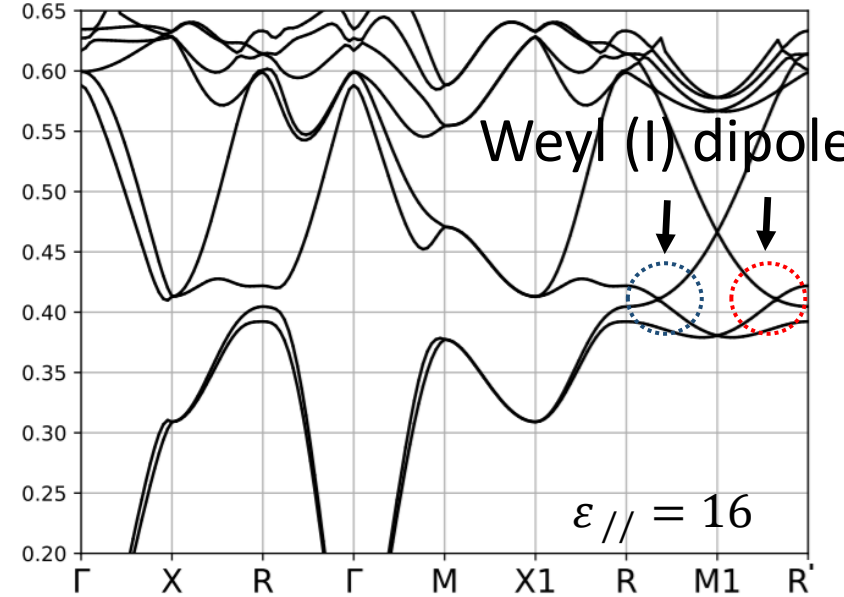
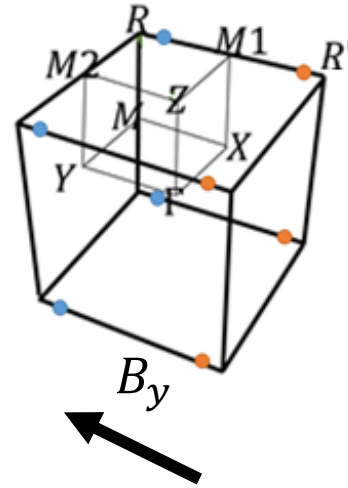


+ T -Breaking

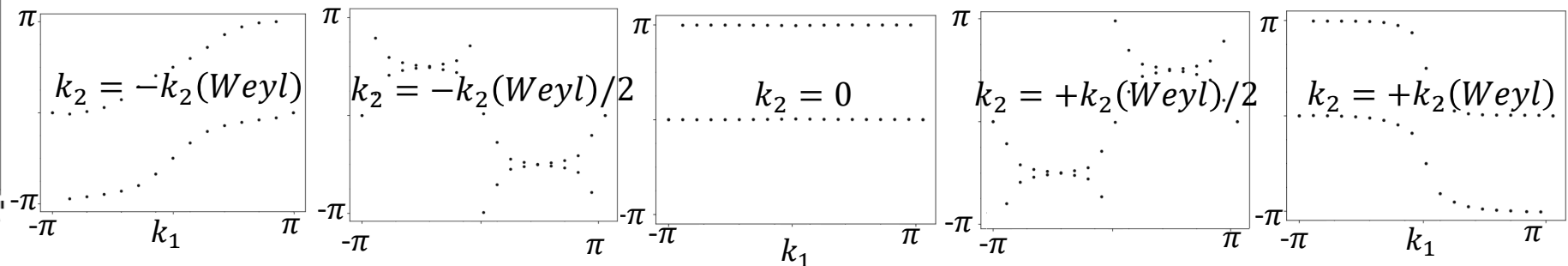
$$\hat{\epsilon} = \begin{pmatrix} \epsilon_{//} & 0 & i\Lambda_{\epsilon i} \\ 0 & \epsilon_{//} & 0 \\ -i\Lambda_{\epsilon i} & 0 & \epsilon_{//} \end{pmatrix}$$

$$\Lambda_{\epsilon_{1,2,3,4}} = 10$$

Yang, Optics Express 15772,
Vol. 25, No. 14, 2017



Change in Section Chern number: discontinuity at Weyl
interpret as **Oono**, Phys. Rev. B 94, 125125 (2016)

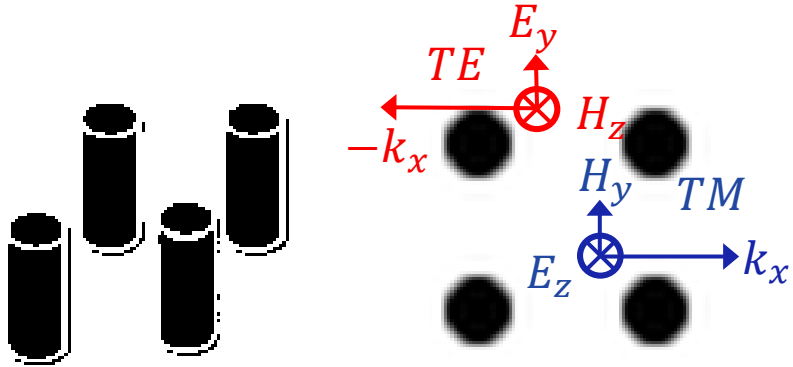


On-Going Research

- i. How to enforce a \mathbb{Z}_2 phase by constructing a polarization **TE-TM pseudo-spin**?
- ii. Can **EM duality** U_2 and **mode parity** be used as protecting internal symmetries?
- iii. How to realize **3D Chern \mathbb{Z}** photonic phases with no analogue in electronics in chiral woodpiles or chiral liquid crystal blue phases?
- iv. Which is the possible WL characterization of topological properties of multi-fold degeneracies in “new-fermions” **beyond Weyl and Dirac**?

(i) TE/TM pseudo-spin in 2D

(i) How to enforce a \mathbb{Z}_2 phase by constructing a pseudo-spin for TE-TM polarization?

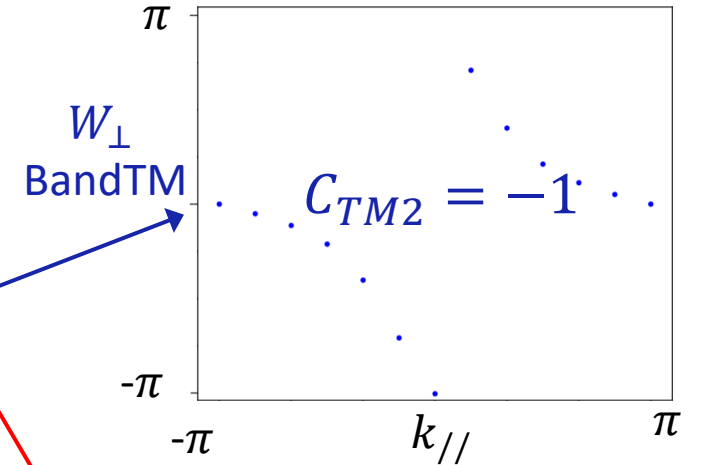
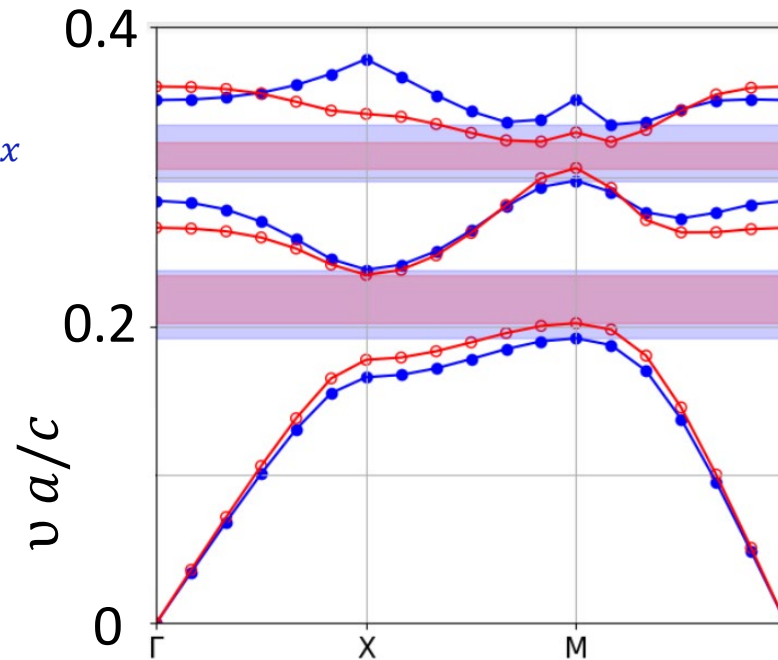


Polarization Transport with $\hat{\chi} = 0$

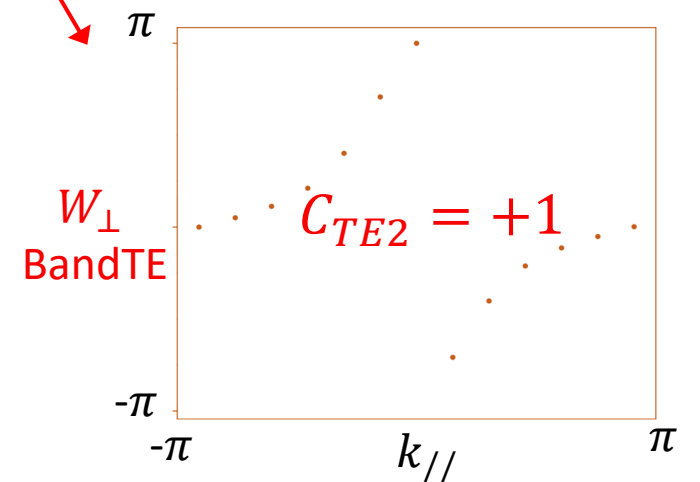
$$\hat{W} = \begin{pmatrix} \hat{\varepsilon} & \hat{0} \\ \hat{0} & \hat{\mu} \end{pmatrix} \text{ with } \Lambda_\mu \Lambda_\varepsilon < 0$$

$$\begin{aligned} \mu_{diag} &= 9 \\ \mu_{off} &= i\Lambda_\mu = 8.5i \\ \varepsilon_{diag} &= 15 \\ \varepsilon_{off} &= i\Lambda_\varepsilon = -12i \end{aligned}$$

- Way to bypass the requirement of $\hat{\chi} \neq 0$ for a \mathbb{Z}_2 phase (weak at optical frequencies)
- Realization in GyroMagnetic rods ($\Lambda_\varepsilon=0$) with GyroElectric background ($\Lambda_\mu=0$)?




Pseudo- \mathbb{Z}_2 invariant



(ii) Even/Odd Complementary Slabs

(ii) Can EM duality and mode parity be used as protecting internal symmetries?

$u = \text{upper}$




r
 t

TM modes
 (first 2 bands)

Disconnected Geometry

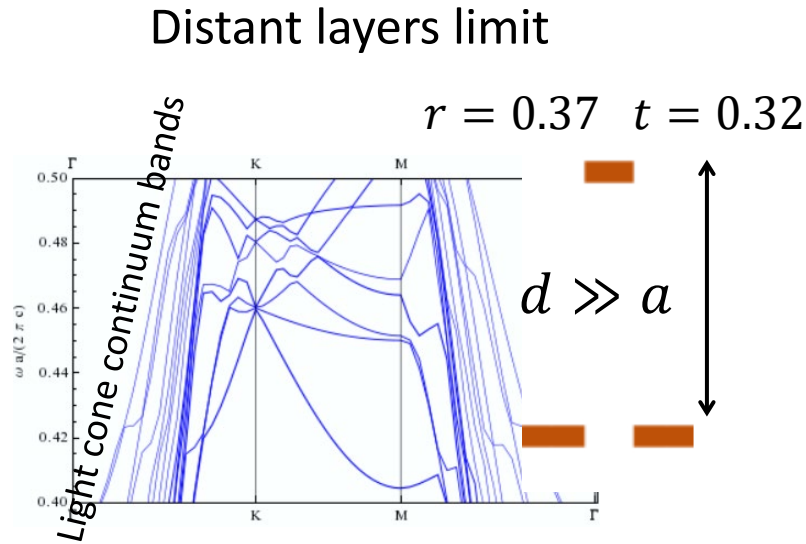
$l = \text{lower}$



r
 t

TE modes
 (first 2 bands)

Connected Geometry

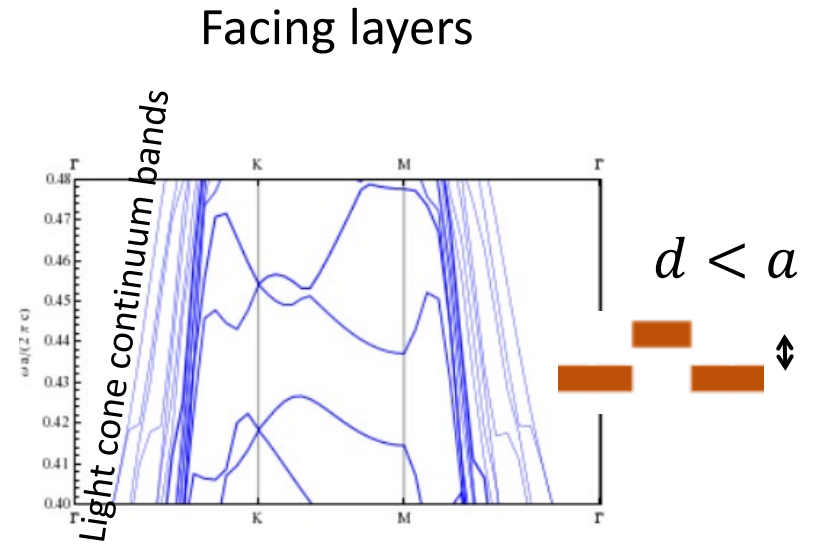


$$\omega_{TM}(k=K) = \omega_{TE}(k=K)^c$$

Babinet principle approach:
 Mutually complementary layers

$$u = l^c$$

$$(u \neq u, l \neq l^c)$$



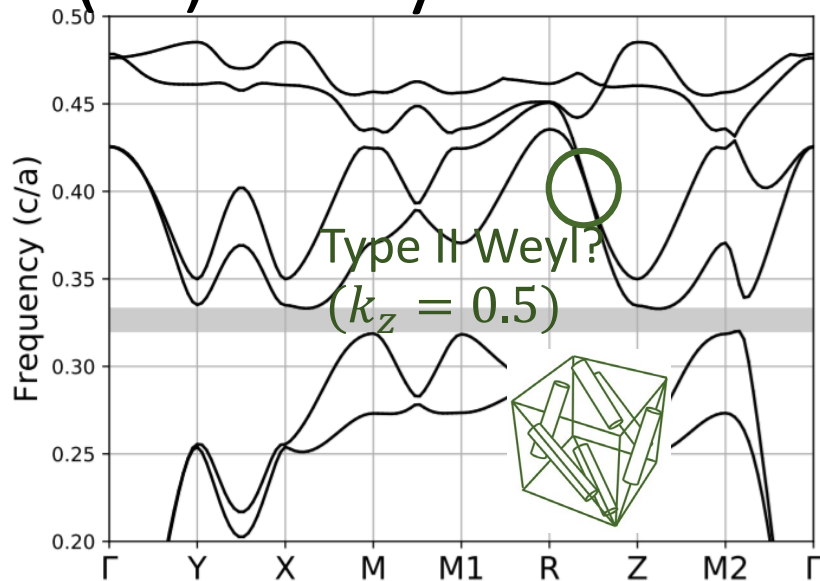
Broken $\sigma_z \rightarrow$ even and odd modes coupling
 $(u + l) = (u + l)^c \rightarrow$ Dual pseudospin Z_2 ?

Detection:

- Character sharing and inversion?
- Light cone continuum bands: Wilson loop in finite systems?

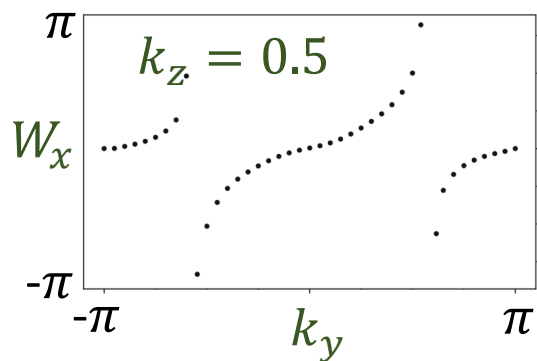
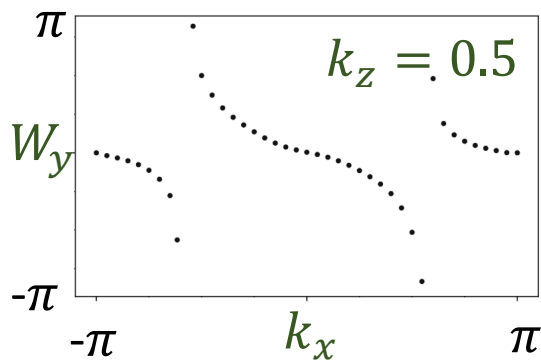
(iii) Weyls in Chiral Gyro-magnetic phc. of Rods

(iii) How to realize 3D Chern \mathbb{Z} photonic phases with no analogue in electronics in chiral woodpiles or chiral liquid crystal blue phases?

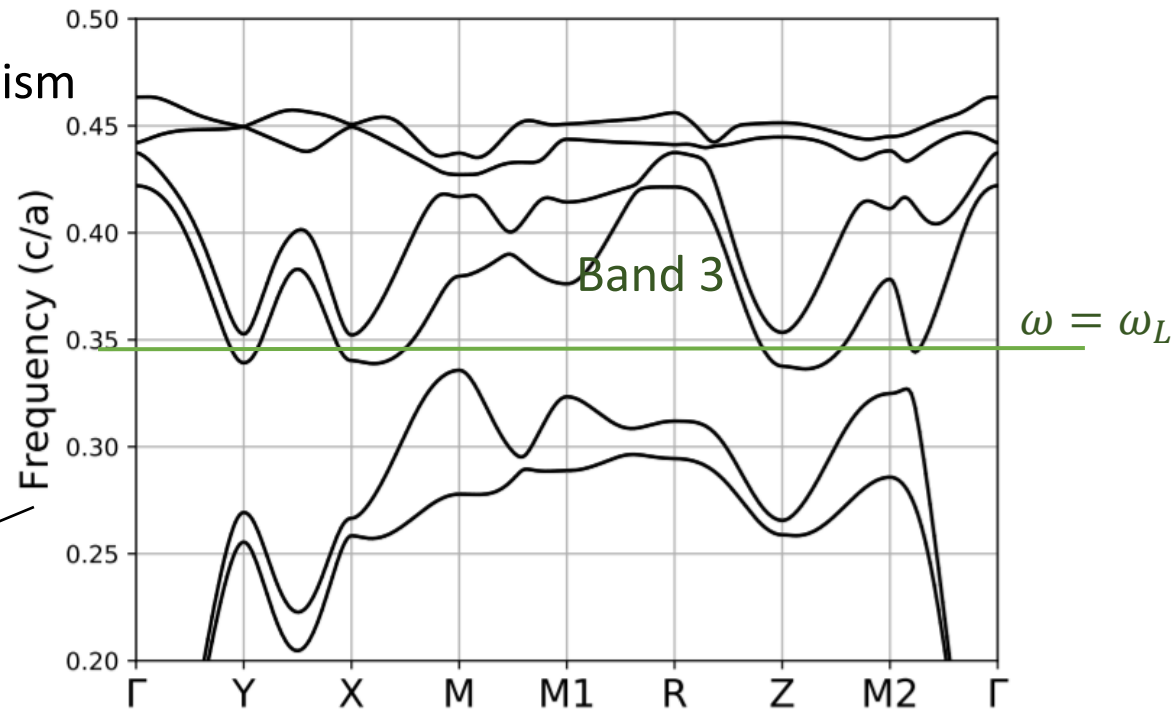
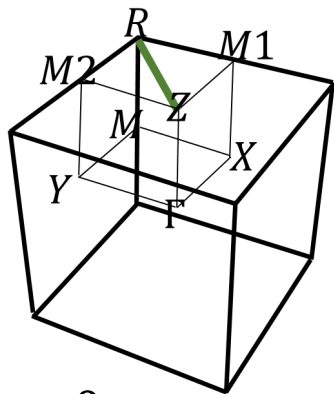


Liquid Crystal of Rods
Chiral SG 209

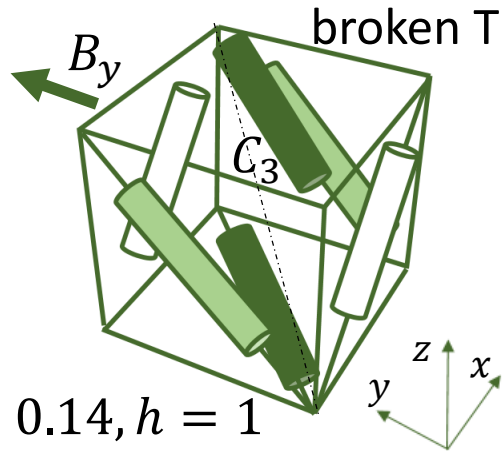
$$\Lambda_{\varepsilon_1} = \Lambda_{\varepsilon_2} = \Lambda_{\varepsilon_3} = 0$$



Faraday gyromagnetism



$$\hat{\varepsilon}_i = \begin{pmatrix} \sqrt{\varepsilon_{//}^2 + \Lambda_{\varepsilon_i}^2} & 0 & i\Lambda_{\varepsilon_i} \\ 0 & \varepsilon_{//} & 0 \\ -i\Lambda_{\varepsilon_i} & 0 & \sqrt{\varepsilon_{//}^2 + \Lambda_{\varepsilon_i}^2} \end{pmatrix}$$



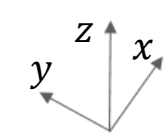
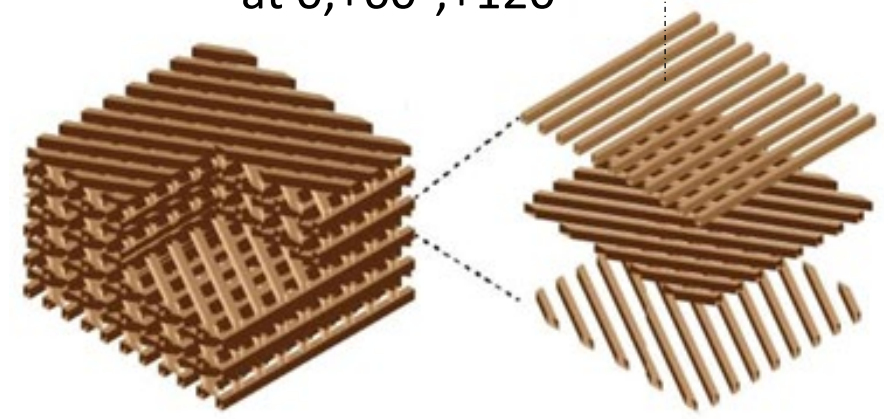
$\Lambda_{\varepsilon_1} = \varepsilon_{//} - 1$ $\Lambda_{\varepsilon_3} = 0$
 $\Lambda_{\varepsilon_2} = 0.5 \Lambda_{\varepsilon_2}$ $\varepsilon_{//} = 29, r = 0.14, h = 1$

- Control on TRS breaking: amount of Λ_{ε_i} limited by $\varepsilon_{//}$
- How to get the gap in the full BZ?

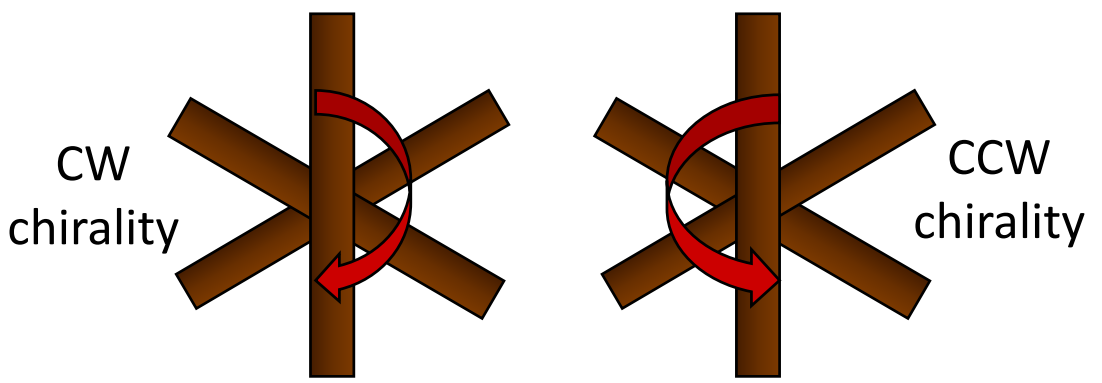
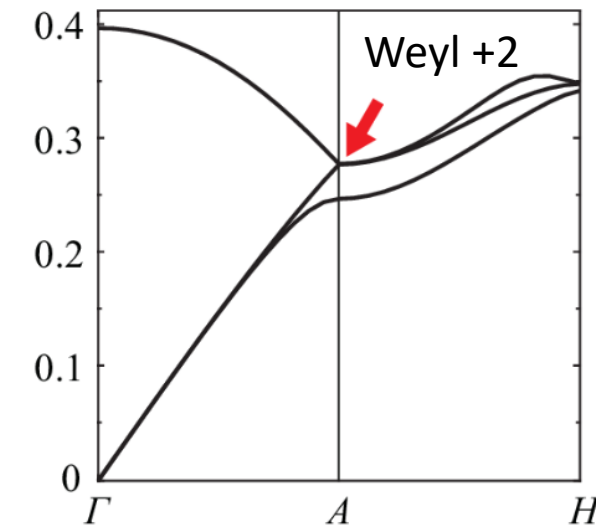
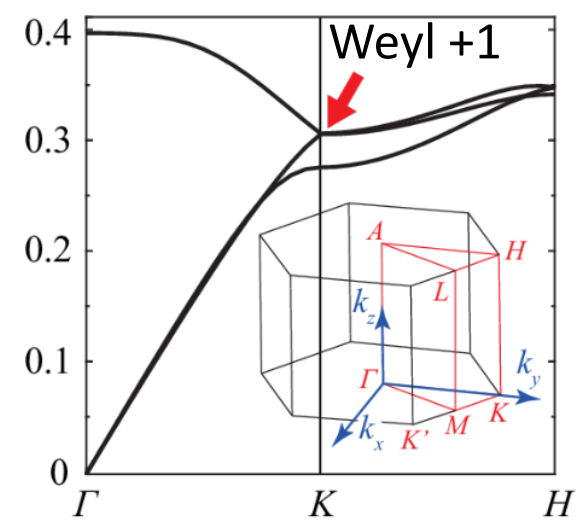
(iii) Weyls in Chiral Woodpile ph.c.

Stack of dielectric bars at $0, +60^\circ, +120^\circ$

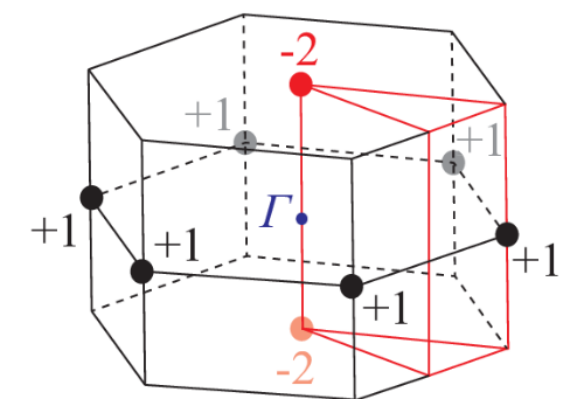
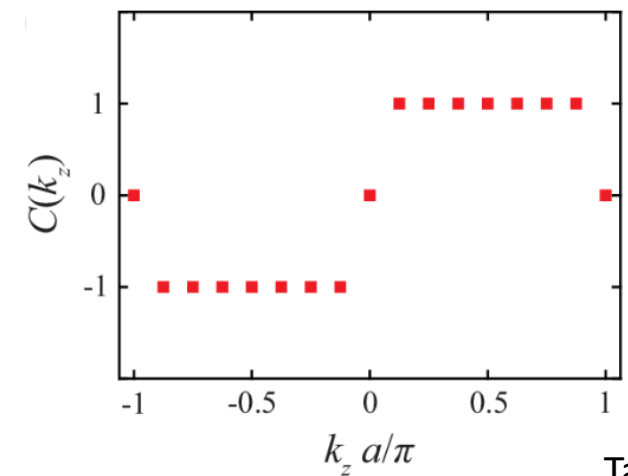
C_3

(iii) How to realize 3D Chern \mathbb{Z} photonic phases with no analogue in electronics in chiral woodpiles or chiral liquid crystal blue phases?



Change in section Chern number

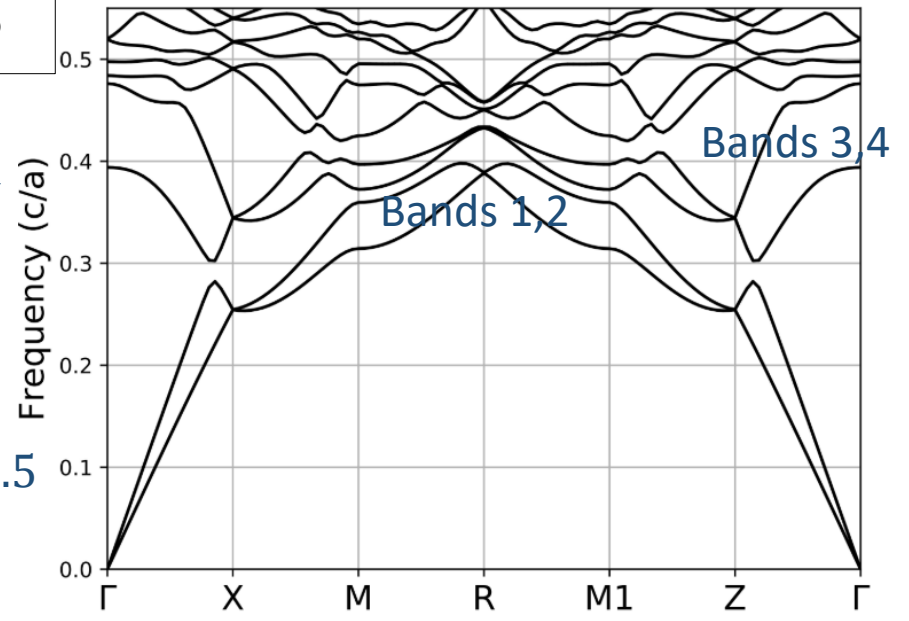
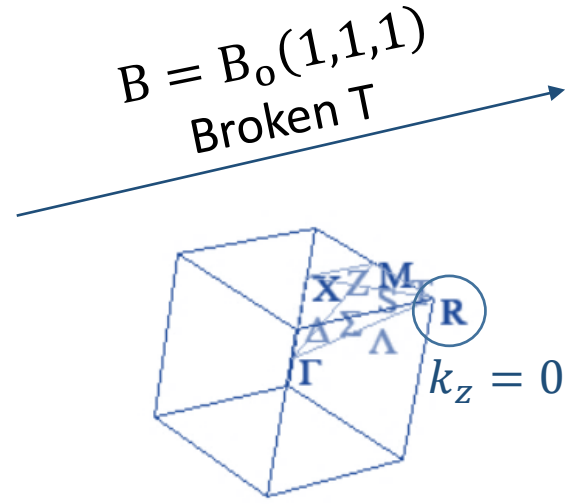
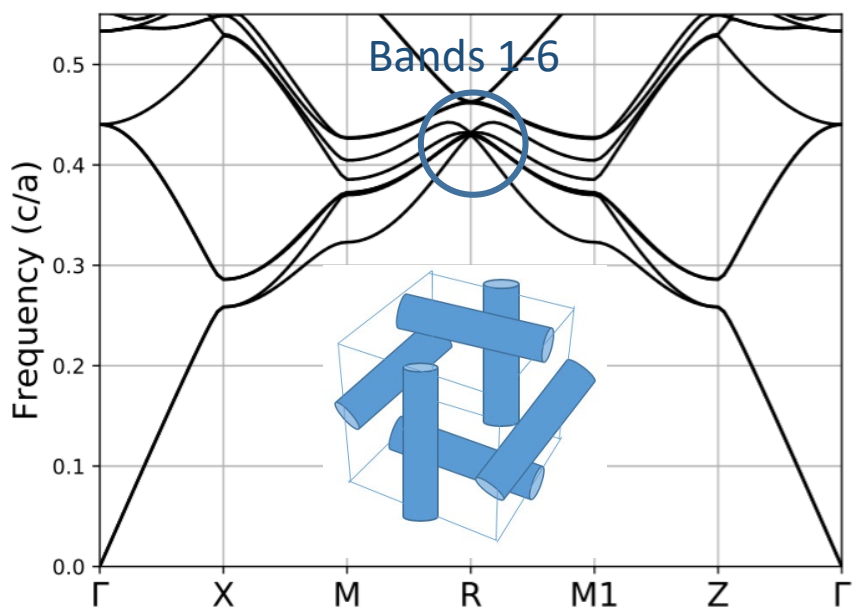


- Weyl gapping? Magnetic field along $+z$ or $-z$ axis: Axial dependent topology of the gap?

(iv) Six-fold degeneracy in a cubic O_h ph.c.

(iv) Which is the possible WL characterization of topological properties of multi-fold degeneracies in "new-fermions" beyond Weyl and Dirac?

SG 223 + rods at Wyckoff lines 12g



- For the O_h cubic crystal symmetry, there is a 6-dimensional irreducible representation (irrep 'R4') at $R=(1/2,1/2,1/2)$ in SG 223

